AP7 Rec'd PCT/PTO 17 AUG 2006

FORM PTO-1390 (REV. 10-2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

12477/12

10/589762

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED:

PCT/JP2005/002716

21 February 2005

23 February 2004

TITLE OF INVENTION

POROUS FILM, PROCESS FOR PRODUCING THE SAME, AND LITHIUM-ION SECONDARY CELL MADE WITH THE SAME

APPLICANT(S) FOR DO/EO/US

YAMADA, Jun; NAKAMURA, Masanori; INUKAI, Cyuji; NAKAJIMA, Atsushi

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. It is is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. ☐ The US has been elected (Article 31).
- 5. ☑ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau).
 - b. In has been communicated by the International Bureau.
 - c. \square is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a.
 is attached hereto.
 - b. □ has been previously submitted under 35 U.S.C. 154(d)(4).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a \square are attached hereto (required only if not communicated by the International Bureau).
 - b. \square have been communicated by the International Bureau.
 - c. \(\subseteq \) have not been made; however, the time limit for making such amendments has NOT expired.
 - d. A have not been made and will not be made.
- 8.

 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ☑ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. ☑ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. ☑ A preliminary amendment.
- 14. An Application Data Sheet under 37 CFR 1.76.
- 15. ☐ A substitute specification.
- 16.

 A power of attorney and/or change of address letter.
- 17.

 A computer-readable form of the sequence listing in accordance with PCT Rule 13ter. 2 and 37 CFR 1.821 1.825.
- 18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. Other items or information: International Search Report; Cover page of published PCT Application

AP11 Rec'd PCT/PTO 17 AUG 2006 ATTORNEY'S DOCKET NUMBER 2006 10/589762 T/JP2005/002716 12477/12 21. The following fees are submitted: Basic National Fee.....\$ 300.00 300.00 22 ☑ **Examination Fee** If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)......\$ 100.00 \$ 200.00 23.☑ Search Fee Search fee (37 CFR 1.445(a)(2) has been paid on the International Search Report prepared and provided to the Office.....\$ 400.00 \$ 400.00 All other situations \$500.00 \$ 900.00 TOTAL OF ABOVE CALCULATIONS ☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or Total Sheets Extra Sheets **RATE** fraction thereof (round up to a whole number) x \$250 28 /50=0 -0-Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). NUMBER NUMBER EXTRA **CLAIMS** RATE **FILED Total Claims** 17 - 20 =0 x \$50.00 -0-1 - 3 = 0 Independent Claims x \$200.00 -0-MULTIPLE DEPENDENT CLAIM(S) (if applicable) -0-+ \$360.00 TOTAL OF ABOVE CALCULATIONS = 900.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated -0above are reduced by 1/2. SUBTOTAL = 900.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = 900.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 \$40.00 per property TOTAL FEES ENCLOSED = 940.00 Amount to be refunded: Amount to be \$ charged a. 🗆 A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 11-0600 in the amount of \$940.00 to over the above fees. b. 🗹 A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit c. 🗹 any overpayment to Deposit Account No. 11-0600. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: sassand SIGNATURE KENYON & KENYON LLP 1500 K Street, N.W., Suite 700 (Reg. No. 48,361) NAME: Cassandra T. Swain, Ph.D.. Washington, DC 20005 **CUSTOMER NO. 23838 DATE: 17 August 2006**

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